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Abstract

Natural killer (NK) cells are emerging as a promising tool for cancer immunotherapy due to their innate ability to selectively recognize and eliminate cancer cells. Over the past 3 decades, strategies to harness NK cells have included cytokines, small molecules, antibodies, and the adoptive transfer of autologous or allogeneic NK cells, both unmodified and genetically engineered. Despite favorable safety profiles in clinical trials, challenges such as limited in vivo persistence, exhaustion, and the suppressive tumor microenvironment continue to hinder their efficacy and durability. This review categorizes NK cell–based therapies into 3 major approaches: (i) cellular therapies, including unmodified and chimeric antigen receptor–engineered NK cells; (ii) cytokine-based strategies such as interleukin-2 and interleukin-15 derivatives; and (iii) antibody-based therapies, including immune checkpoint inhibitors and NK cell engagers. We highlight these advancements, discuss current limitations, and propose strategies to optimize NK cell–based therapies for improved cancer treatment outcomes.

Keywords: cancer immunotherapy, CAR NK cells, natural killer cells, NK cell engagers

Introduction

Natural killer (NK) cells were first identified and officially named in 1975 due to their “natural” ability to kill tumor cells without prior sensitization to tumor antigens,^{1–4} which is distinct from the mechanism by which T cells recognize and lyse tumor cells. Over the past 5 decades, NK cells have been thoroughly characterized and have now been recognized as part of the family of innate lymphoid cells.⁵ NK cells arise from bone marrow progenitor cells and are mainly present in bone marrow, liver, and peripheral blood, where they comprise around 10% of the total peripheral lymphocytes in healthy individuals at a steady state. Human NK cells are generally divided into 2 subsets based on the relative surface density of CD56 and CD16 (also known as FcγRIIIa): CD56^{bright}CD16[–] NK cells and CD56^{dim}CD16⁺ NK cells. CD56^{bright} NK cells have predominantly immunoregulatory properties mediated by a potent cytokine-producing capacity, while CD56^{dim} NK cells have a marked cytotoxic function.^{6,7} More recently, 3 major NK cell populations, named NK1, NK2, and NK3, have been identified in healthy human blood through single-cell RNA-sequencing analyses.⁸ NK1 comprises CD56^{dim} NK cells, NK2 corresponds to CD56^{bright} cells, and NK3 resembles CD57⁺ adaptive NK cells.⁸ This classification provides new insights into the heterogeneity within the NK cell population.

NK cells are often regarded as innate counterparts of CD8⁺ cytotoxic T cells, both being key mediators of cellular

cytotoxicity. In contrast to T cells that use their surface receptor, known as the T cell receptor, to recognize peptides presented by major histocompatibility complex (MHC) molecules, NK cell recognition of target cells and activation is regulated by a balance between signals mediated through activating and inhibitory receptors. NK cells sense MHC class I (MHC-I) surface expression on cells via a set of MHC-I–specific inhibitory receptors, including killer cell immunoglobulin-like receptors (KIRs) and the receptor complex CD94–NKG2A, to maintain self-tolerance.^{9–11} However, NK cells can recognize and eliminate tumor or infected cells that lose expression of some or all self MHC-I molecules, a phenomenon referred to as “missing-self” recognition.¹² Moreover, NK cells can recognize self-molecules that are induced or upregulated on the surface of stressed cells through activating receptors, such as NKG2D.^{13–15} With inputs from both activating and inhibitory signals, NK cells distinguish between normal and distressed cells and eliminate the latter directly or indirectly. Upon target recognition, NK cells can directly destroy stressed cells by releasing a pore-forming protein called perforin and several cytotoxic proteases known as granzymes, or by engaging death receptors such as TRAIL (TNF-related apoptosis-inducing ligand) or Fas ligand, which initiate a caspase cascade that induces apoptosis in target cells. Activated NK cells can also produce a number of effector cytokines, including interferon γ (IFN-γ), tumor necrosis factor α, granulocyte-macrophage colony-stimulating factor, FLT-3L, and several chemokines including MIP-1α and MIP-1β, XCL1,

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and CCL5, which can further elicit adaptive immune responses. Through these multifunctional activities, NK cells contribute to immune surveillance, helping to eliminate susceptible targets and amplify inflammatory responses against viruses and cancers.

The unique properties of NK cells, which distinguish them from T cells, make them a promising tool for immunotherapy in cancer and other diseases. Over the past 3 decades, various strategies have been developed to harness NK cells in cancer therapy, including the use of cytokines, small molecules, antibodies, and the adoptive transfer of autologous or allogeneic NK cells, either unmodified or genetically engineered. While many clinical trials have demonstrated favorable safety profiles for NK cell therapies, proof of their efficacy and duration remains insufficient. The remaining challenges include NK cell-specific issues such as limited survival and persistence in vivo and shared issues with T cell therapy, such as cell exhaustion and immune suppression with the tumor microenvironment. In this brief review, we provide an overview of current NK cell-based immunotherapy strategies, which are categorized into 3 types: (i) NK cell-based cellular therapies, including the adoptive transfer of NK cell lines, autologous or allogeneic primary NK cells, either unmodified or genetically manipulated with chimeric antigen receptors (CARs), cytokines, or other genes; (ii) cytokine-based endogenous NK cell-stimulating therapies, such as interleukin (IL)-2, IL-15, and their derivatives; and (iii) antibody-based approaches unleashing endogenous NK cell activity, including immune checkpoint inhibitors (ICIs) and NK cell engagers (NKCEs). We conclude with a discussion of current limitations and challenges in NK cell immunotherapy and a perspective on future directions in the field.

Current strategies for NK cell-based immunotherapies

NK cell-based cellular therapies NK cell lines

Human NK cell lines, such as NK-92, NKL, NKG, KHYG-1, HANK-1, and NK-YS, represent an attractive source for adoptive immunotherapy. Among them, NK-92 is the most widely used and has already entered clinical trials.^{16–19} NK-92 cells are easier to expand, and they do not express inhibitory KIRs that would otherwise restrict NK effector functions.²⁰ However, their cancerous origin raises safety concerns, and they must be irradiated before infusion,²¹ which limits their long-term in vivo persistence and overall therapeutic potential. Furthermore, the lack of CD16 expression reduces their ability to mediate cell killing via antibody-dependent cellular cytotoxicity (ADCC).²² However, several genetically engineered NK-92 variants have been developed to enhance cytotoxicity and ADCC, including high-affinity NK, which expresses a high-affinity CD16 Fc receptor,²³ as well as CAR-engineered NK-92 cells targeting ErbB2,²⁴ CD19,²⁵ CD20,²⁵ CD33,²⁶ HER2,²⁷ CS1,²⁸ GD2,²⁹ epidermal growth factor receptor (EGFR),³⁰ and FLT3.³¹ According to multiple clinical trials, administering a large quantity of CAR NK-92 cells has been demonstrated to be safe, with studies showing no major adverse effects, even at high cell doses.^{26,32} NK-92 cells and their engineered target-specific variants are well positioned to serve as off-the-shelf NK cell therapies for cancer patients. Moreover, genetic modifications have enabled the creation of NK-92 variants that

simultaneously express an Fc receptor, cytokines, CARs, or other receptors, opening new avenues for targeted cancer therapy.³³

Autologous NK cells

Stimulation of NK cells with cytokines such as IL-2, IL-12, IL-15, IL-18, and type I IFN results in highly activated NK cells, which can lyse tumor cells that express self-MHC molecules. The therapeutic feasibility of NK cells was first explored in the 1980s in the form of lymphokine-activated killer (LAK) cells, an autologous mixture of T and NK cells expanded ex vivo with high-dose IL-2 (Fig. 1A). While LAK cells efficiently lyse autologous tumor cells in vitro, only limited clinical efficacy was observed in patients treated with autologous LAK cells.^{34–36} Although the adoptively transferred autologous NK cells seemed to persist in vivo for weeks to months,³⁵ they lost the killing activity in vivo, possibly due to their inhibitory receptors recognizing self-MHC antigens.³⁵ For this reason, few studies currently rely on autologous NK cells but shift their focus to allogeneic NK cells to treat cancer. However, autologous NK cells may still be specifically useful in particular clinical situations. For example, autologous NK cells allow infusion without needing lymphodepletion. In addition, by arming the NK cells with an antibody binding to the activating NK cell receptor CD16, a bispecific antibody recognizing an activating NK receptor and a tumor-associated antigen, or a CAR, autologous NK cells can be redirected to target cancer cells based solely on the NK cell recognition of a tumor-associated antigen, overriding its own inhibitory signal following recognition of self-MHC antigens.³⁷

Allogeneic NK cells

The lack of expression or mismatch of the self-HLA class I allele, as can occur with donor NK cells infused into an allogeneic HLA class I-mismatched recipient, prevents inhibitory KIRs from recognizing self, often leading to NK alloreactivity. This can be further potentiated when recipient cells express stress ligands or other ligands recognized by activating NK cell receptors.³⁸ Based on the concept originally proposed by Ruggeri et al.,³⁸ the feasibility and safety of the adoptive transfer of allogeneic NK cells into patients were first demonstrated by Miller et al.³⁹ in 2005. Their clinical trials showed that adoptively transferred human NK cells derived from haploidentical-related donors could persist in vivo and mediate antitumor effects against malignant blasts in patients with acute myeloid leukemia (AML).³⁹ Since then, numerous clinical studies have confirmed the safety of infused allogeneic NK cells and minimal risk of graft-versus-host disease, cytokine release syndrome, or immune effector cell-associated neurotoxicity syndrome in both hematologic malignancies and solid tumors.^{40–49} While adoptive allogeneic NK cell therapy has shown promising efficacy in treating hematological malignancies,^{40–45,50} its effectiveness in clinical studies of solid tumors remains limited.^{46–48} Nevertheless, these studies have highlighted the potential of allogeneic NK cells as a therapeutic option. The favorable safety profile of allogeneic NK cell infusions has further fueled the development of therapeutic NK cell products.

Commonly used allogeneic NK cells are collected from haploidentical or unrelated donor peripheral blood mononuclear cells or umbilical cord blood (UCB). These relatively mature NK cells are expanded ex vivo using irradiated feeder cells and cytokines (e.g., IL-2, IL-15) until a sufficient number of cells for infusion is obtained (Fig. 1B). The feeder cells are typically

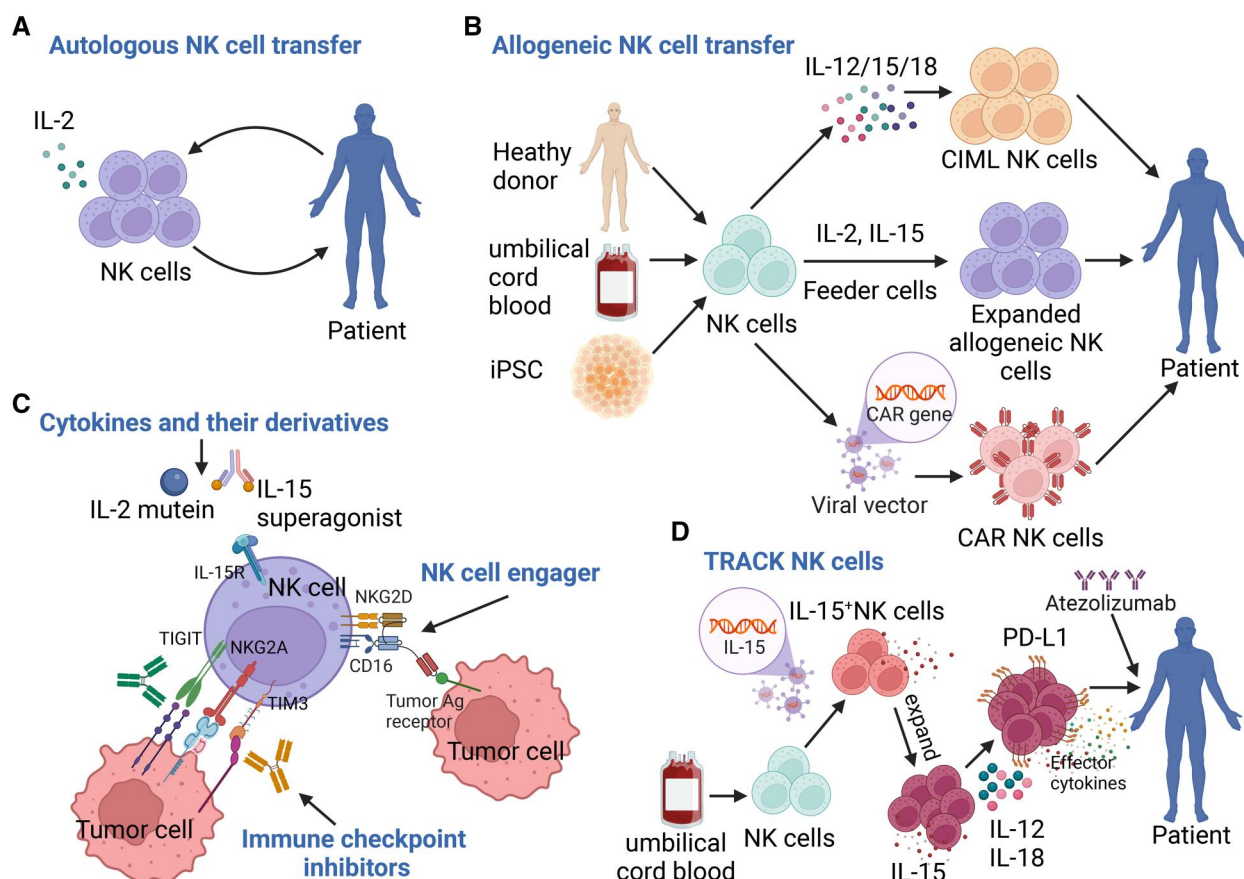


Figure 1. Current strategies for NK cell-based immunotherapies. (A) Autologous NK cell transfer. (B) Allogeneic NK cell transfer, including nonmodified ex vivo expanded NK cells, cytokine-induced memory-like (CIML) NK cells, and CAR NK cells. (C) NK cell therapies based on cytokines, immune checkpoint inhibitors, and NK cell engagers. (D) Workflow for producing TRACK NK cells. Created with Biorender.com.

derived from the erythroleukemic cell line K562, which is transduced to express various costimulatory molecules, such as 4-1BBL, and membrane-bound cytokines like IL-15 or IL-21.^{51,52} Additionally, feeder cell-free expansion systems have been developed, including the use of K562-derived membrane particles that retain the expression of 4-1BBL and IL-21.⁵³ NK cells can also be generated from UCB stem cells or induced pluripotent stem cells (iPSCs).^{54,55} Unmodified UCB-derived NK cells have been evaluated in clinical settings, showing a favorable safety profile.^{56,57} iPSCs, in particular, allow for precise genetic modifications and the generation of an unlimited number of uniform, off-the-shelf NK cells, overcoming donor-dependent variability. For instance, preclinical studies show that iPSC-derived NK cells with *CISH* knockout or CAR modifications demonstrate improved metabolic fitness and enhanced antitumor activity.^{58,59}

Current allogeneic NK cell therapy has infused up to $\sim 1 \times 10^8$ cells/kg/dose and multiple infusions of ex vivo expanded NK cells. However, these cells exhibit limited persistence and suboptimal antitumor activity in vivo. Strategies to prolong NK cell persistence and enhance their activity are under development. One promising approach involves cytokine-induced memory-like (CIML) NK cells, which are generated through overnight ex vivo activation with IL-12, IL-15, and IL-18 (Fig. 1B).^{55,60} CIML NK cells demonstrate enhanced responsiveness to cytokines (IL-12 + IL-15), tumor targets, or activating receptor restimulation (Ly49H or NK1.1 in mice and CD16 in humans), and the ability to functionally persist long-term in vivo.^{61–63} A recent study revealed that CIML NK cells exhibit distinct transcriptional and epigenetic

profiles compared with conventional effector NK cells.⁶⁴ CIML NK cells can be further refined into 2 subsets, named enriched memory-like 1 (eML-1) and eML-2 NK cells, which originate from CD56^{bright} and CD56^{dim} NK cells, respectively.⁶⁴ Both eML-1 and eML-2 NK cells can persist in patients following adoptive CIML NK cell therapy.⁶⁴ Adoptively transferred nonengineered CIML NK cells have shown to be safe, capable of expanding and persisting in vivo, and resistant to irradiation in clinical studies.^{44,45,65} Furthermore, preclinical studies demonstrated that CIML NK cells synergize with CAR engineering, significantly enhancing CAR NK cell antitumor responses across several cancer types.^{66–70}

Beyond CIML NK cells, human adaptive-like “memory” NKG2C⁺CD56^{dim}CD16⁺ NK cells in peripheral blood from cytomegalovirus-seropositive individuals have been extensively studied in recent years and are currently explored as a treatment strategy for hematological cancers.^{8,71–76} This adaptive NK cell subset is often characterized by the upregulated expression of NKG2C, CD16, CD57, and CD2, and restricted expression of NKG2A, Syk, FcεRγ, and KIR.^{73,74,77,78} These cells exhibit high proliferative capacity, longer-term persistence, and increased cytotoxicity.^{73,74,77,78} Recent studies have demonstrated that expanded NKG2C⁺ adaptive NK cells have potent antitumor activity against multiple myeloma (MM), acute lymphoblastic leukemia, and AML.^{79–81} In addition, in vitro “tumor-primed” NK cells also display memory-like properties.^{78,82–84} Tumor-primed, memory-like NK cells have shown both safety and promising efficacy when infused into AML patients.^{85,86} A

clinical trial is currently investigating the in vivo tumor priming of endogenous NK cells using a replication-incompetent tumor cell line in patients with advanced myelodysplastic syndromes or multiply relapsed AML (NCT05933070). The clinical application of NK cells with memory-like properties holds great promise in fulfilling the long-sought breakthrough potential of NK cell-based cancer immunotherapy.

In summary, allogeneic NK cells are safe and have shown clinical benefits against a number of cancers, particularly against AML. However, the use of allogeneic NK cells to treat hematologic malignancies and solid tumors still faces various challenges, which mostly fall on the NK cells, including ineffective trafficking, ineffective recognition of tumors, and poor NK cell activity and persistence in vivo. To address these challenges, genetic manipulation of NK cells, such as the transduction of a CAR, has potential for improving NK cell adoptive transfer therapies and is being actively explored in preclinical and clinical studies, as discussed in the following section.

Genetically engineered NK cells

The antitumor efficacy of NK cells is often limited by the lack of sufficient endogenous cytokines to sustain the survival of exogenously administered NK cells in vivo, immunosuppressive cytokines in the tumor microenvironment (TME) such as transforming growth factor β (TGF- β), as well as by immunosuppressive myeloid cells that impair NK cell function and survival. Indeed, targeting the TGF- β pathway can enhance NK cell effector function in a TGF- β -rich environment. For example, UCB NK cells transduced with a dominant-negative TGF- β receptor II (DNRII), which blocks TGF- β signaling by disrupting the assembly of the functional signaling complex of TGF- β and its type I and II receptors, exhibit enhanced cytotoxic activity against neuroblastoma in vitro under TGF- β -rich conditions and superior antitumor activity in vivo in a mouse neuroblastoma model.^{87,88} Similarly, B7H3 CAR NK cells cotransduced with DNRII maintain their cytolytic function against glioblastoma multiforme even in the presence of exogenous TGF- β .⁸⁹ Moreover, iPSC-derived CAR NK cells engineered to either knock out the TGF- β receptor 2 or express DNRII are resistant to TGF- β inhibition, demonstrating improved antitumor activity against hepatocellular carcinoma.⁹⁰ In addition to targeting TGF- β pathways, the cytokine-inducible SH2-containing protein, encoded by the *CISH* gene, has been identified as a key inhibitory checkpoint of IL-15 signaling in NK cells.⁹¹ Ablating *CISH* enhances the metabolic fitness and antitumor activity of armored IL-15-secreting UCB-derived CAR NK cells.⁹² Furthermore, the simultaneous deletion of *CISH* and *TGF β 2* produces robust antitumor effects in both mouse and iPSC-derived NK cells.^{93,94} We previously found that Cbl-b is also a negative regulator in NK cells, and knockdown of Cbl-b resulted in increased effector functions.⁹⁵ Another promising approach to boost NK cell activity against solid tumors involves genetically engineering NK cells to express chemokine receptors that enhance migration to tumor sites, as chemokines play an important role in regulating NK cell tracking into tumors.⁹⁶ Chemokine receptors such as CXCR1,⁹⁷ CXCR2,⁹⁸ variant of CXCR4,⁹⁹ CCR4, and CCR2B¹⁰⁰ have shown potential in improving NK cell trafficking toward tumors. These preclinical studies collectively highlight the potential of genetic engineering to overcome tumor-associated challenges and enhance NK cell efficacy in cancer immunotherapy.

Cytokine engineering has also emerged as a powerful strategy to sustain the in vivo persistence and activity of NK cells. Among cytokines, IL-15 has garnered the most attention in translational and clinical research due to its ability to enhance NK cell cytotoxicity, proliferation, and persistence.^{101–105} Numerous preclinical studies have demonstrated that systemically administered IL-15 or its analogs, as well as genetically modified NK or CAR NK cells engineered to produce membrane-bound or secretory IL-15, can enhance NK cell in vivo persistence and antitumor activity.^{31,69,70,106–108} Moreover, incorporating IL-15 into NK cells has thus far been safe and without serious adverse events. A clinical study utilizing IL-15/CD19 CAR NK cells secreting soluble IL-15 highlighted that a high-dose infusion of IL-15/CD19-CAR NK cells (1×10^7 cells per kilogram of body weight) does not result in any grade 3 or 4 infusion-related toxicities, cytokine release syndrome, or neurotoxicity.¹⁰⁹

CAR NK cells

The development of CAR T cell therapies has revolutionized the field of cellular therapy for the treatment of cancer.¹¹⁰ NK cells have emerged as a safe and effective cell type to introduce a platform for CAR NK cell engineering. The feasibility of CAR NK cells was established by engineering the NK-92 cell line with a CAR.^{24,26,28,30} Early clinical studies safely using fresh allogeneic, mismatched CAR NK cells and more recent preclinical work using cryopreserved allogeneic, mismatched CAR NK cells suggest that CAR NK cells could be developed as a universal, off-the-shelf product, making them more accessible and scalable for clinical applications.^{70,109,111} As of November 2024, ClinicalTrials.gov lists 89 CAR NK cell clinical trials targeting hematopoietic malignancies and solid tumors in various stages: 2 completed, 40 actively recruiting or enrolling by invitation, 5 active but not recruiting, 14 not yet recruiting, 24 with unknown status, and 4 suspended/terminated/withdrawn. Most trials are in phase 1 (61) or phase 2 (25). Notably, the number of CAR NK clinical trials has surged from just 19 in December 2020,¹¹² reflecting rapid advancements in the field over the past 2 years. Anti-CD19 CAR NK cells have demonstrated promising therapeutic efficacy in B cell malignancies in clinical settings.^{109,111} The recent findings from a phase 1/2 trial of IL-15/CD19 CAR NK cells in 37 heavily pretreated patients with relapsed or refractory B cell malignancies revealed encouraging results. Both the day 30 and day 100 overall response (OR) rates were 48.6%.¹¹¹ Additionally, the 1-yr overall survival (OS) and progression-free survival (PFS) were 68% and 32%, respectively.¹¹¹ Of note, patients who achieved OR had higher levels and longer persistence of CAR NK cells compared with nonresponders.¹¹¹ In addition to B cell malignancies, CAR NK cells have shown significant antitumor activity in preclinical studies targeting other hematologic cancers and solid tumors. For example, CAR NK cells targeting CS1 or CD70 for MM,^{28,113} CD5 for T cell malignancies,¹¹⁴ EGFR for glioblastoma multiforme (GBM)^{30,115} and breast cancer brain metastases,¹¹⁶ prostate stem cell antigen for pancreatic cancer,⁷⁰ and FLT3 for AML.³¹ These compelling preclinical results have driven the initiation of clinical trials to further explore and validate the therapeutic potential of CAR NK cells in diverse cancer types.

Cytokine-based endogenous NK cell-stimulating therapies

Cytokines, including IL-2, IL-12, IL-15, IL-18, IL-21, and type I IFNs, play critical roles in the development, maturation, activation, and survival of NK cells. Among these, IL-2 has been one of the earliest and most extensively studied in clinical settings due to its ability to stimulate the proliferation of NK cells and CD8⁺ T cells and induce tumor regression. Early clinical trials demonstrated that IL-2, whether used alone or in combination with LAK therapy, produced significant clinical responses in cancer patients.^{117–119} In fact, recombinant human IL-2 (aldesleukin) became the first immunotherapy approved by the U.S. Food and Drug Administration for treating metastatic renal cell carcinoma in 1992 and metastatic melanoma in 1998. However, the clinical use of IL-2 is limited by dose-dependent toxicity and concerns about its tendency to promote T regulatory (Treg) cell expansion,¹²⁰ which can inhibit the activation and function of other effector T cells.¹²¹ To overcome these issues and improve clinical outcomes, strategies in IL-2 mutein design aim to either increase IL-2 receptor β (IL-2R β) binding affinity or decrease IL-2R α binding affinity (Fig. 1C). For instance, IL-2 muteins created by substituting residues R38, F42, Y45, and E62 with alanines have demonstrated antitumor efficacy similar to wild-type IL-2 but exhibit lower toxicity and reduced activity in inducing Treg cells.¹²² Another strategy involves fusing IL-2 with the extracellular domain of IL-2R α to block the IL-2R α -binding site, thereby promoting an IL-2R β bias.¹²³ Additionally, a mutant form of IL-2, known as “super-2,” has been developed to enhance IL-2R β binding affinity, avoiding Treg cell interference and leading to superior expansion and enhanced anticancer activity of cytotoxic T cells.¹²⁴ A further innovative approach is the use of an orthogonal (ortho) IL-2 and IL-2 receptor system to selectively deliver the ortho-IL-2 to ortho-IL-2R-engineered T cells.¹²⁵ While most IL-2-based muteins currently focus on enhancing T cell activity, it remains to be seen whether these modifications can enhance NK cell activity or persistence in vivo in clinical settings. Designing and testing an NK cell-biased IL-2 mutein for cancer treatment is an area of ongoing interest.

IL-15 is widely regarded as a promising cytokine for NK cell-based cancer therapy. Similar to IL-2, IL-15 is a pleiotropic cytokine that promotes the survival, proliferation, and cytotoxicity of both NK and CD8⁺ T cells.^{101–103} However, unlike IL-2, IL-15 does not induce activation-induced cell death or stimulate the proliferation of Treg cells, possibly making it more suitable for cancer immunotherapy. Since its discovery, more than 200 clinical trials have investigated various forms of IL-15 in cancer treatment.¹⁰¹ Although IL-15 monotherapy has shown safety and a notable increase in circulating NK and CD8⁺ T cells, it has produced only limited objective responses and dose-dependent toxicity as a single-agent therapy.^{126–128} To overcome this limitation, efforts have been made to develop IL-15 derivatives, which have been evaluated in clinical trials as monotherapies or in combination with chemotherapy or immune checkpoint inhibitors to enhance their therapeutic potential (NCT02384954, NCT04136756, NCT03388632, NCT04616196, NCT05676749). Of note, in 2024, the U.S. Food and Drug Administration approved N-803 (Anktiva; ImmunityBio), a first-in-class IL-15 superagonist that combines an IL-15 mutant (IL-15N72D) with an IL-15R α /IgG1 Fc fusion protein (Fig. 1C), in combination with Bacillus Calmette–Guérin for

patients with Bacillus Calmette–Guérin-unresponsive non-muscle-invasive bladder cancer. N803 has also been proven to be safe and effectively induces proliferation, expansion, and activation of peripheral blood NK cells and CD8⁺ T cells when administered subcutaneously in combination with PD-1 blockade (e.g., nivolumab) or tumor-targeting monoclonal antibodies (e.g., rituximab) for the treatment of metastatic non-small cell lung cancer (NSCLC) and non-Hodgkin lymphoma,^{127,129} further expanding its broad implications for the field of cancer immunotherapy. Moreover, CAR NK cells are now regularly engineered to produce IL-15 for preclinical studies and clinical applications to enhance NK cell in vivo persistence and cytotoxic activity.^{31,69,70,109,111} Importantly, as described previously, thus far IL-15 is safe and does not cause serious adverse events in clinical studies when transduced as a secretable protein into CAR NK cells.^{109,111}

Antibody-based endogenous approaches unleashing NK cell activity

Immune checkpoint inhibitors

In the TME, NK cells frequently exhibit an exhausted status, which impairs their immunosurveillance function and contributes to successful tumor immune evasion.¹³⁰ In addition to the classical NK cell inhibitory receptors KIRs and NKG2A, several other immune checkpoints have also been shown to cause dysfunction of NK cells in various cancers and chronic infections, including PD-1/PD-L1, TIGIT, TIM-3, LAG3, and the Siglec family (Siglec-7/9).^{131–133} Inhibition of specific NK cell checkpoint receptors has the potential to reverse NK cell dysfunction in tumors and unleash the activity of NK cells in both preclinical studies and clinical trials. Here, we briefly review targeting 5 representative checkpoint receptors (Fig. 1C), including NKG2A, PD-1/PD-L1, TIM-3, and TIGIT, for unleashing NK cell antitumor activity from the previously mentioned clinical trials.

NKG2A

NKG2A is covalently associated with CD94 on the surface of NK cells and CD8⁺ T cells and recognizes the nonclassical MHC-I molecule, HLA-E in humans, and Qa-1 in mice.¹³⁴ It is widely recognized as a key immune checkpoint for NK cells. The interaction between NKG2A and HLA-E plays a crucial role in tumor immune escape, and NKG2A-mediated mechanisms are being actively explored to develop potential antitumor therapeutic strategies. Blocking NKG2A has been shown to significantly enhance tumor immunity by promoting the effector functions of both NK and CD8⁺ T cells in mice and humans.¹³⁵ An antibody targeting CD94/NKG2A (monalizumab), developed by Innate Pharma, has been evaluated in multiple clinical trials as a monotherapy or in combination with other checkpoint inhibitors.^{136–139} While monalizumab monotherapy has demonstrated limited efficacy, its combination with other antibodies has shown promising response rates.^{136–139} Currently, 2 phase 3 trials are ongoing to assess the efficacy and safety of monalizumab in combination with cetuximab (NCT04590963) or durvalumab (NCT05221840) in patients with recurrent or metastatic head and neck cancer or stage III unresectable NSCLC, respectively. If successful, monalizumab would validate the strategy of targeting NK cell checkpoints in immunotherapy and provide an alternative or complementary approach to current PD-1/PD-L1 inhibitors. Alternatively, using CRISPR/Cas9 to disrupt the NKG2A-encoding gene *KLRC1* in NK

cells represents another promising approach, as preclinical evidence indicates that *KLRC1* deletion significantly enhances NK cell responses to HLA-E⁺ tumor targets.⁴⁴

PD-1/PD-L1

Whether NK cells express PD-1 is still in the debate. Some studies show minimal to no PD-1 expression on NK cells,¹⁴⁰ while others report detectable levels, particularly in the context of cancer patients in which NK cells might upregulate PD-1 expression upon encountering tumor cell.^{141,142} However, blocking the PD-1/PD-L1 axis has been shown to enhance NK cell–antitumor response in both preclinical and clinical settings. Our group has demonstrated that PD-1 blockade can enhance NK cell function against MM tumor cell targets.¹⁴² A recent randomized clinical trial of 109 patients with advanced NSCLC compared the combination of the first line anti-PD-1 antibody pembrolizumab with single or multiple infusions of allogeneic NK cells with KIR mismatch.¹⁴³ The results showed that combined therapy of pembrolizumab plus NK cells had a higher ORR (36.4% vs. 18.5%), improved OS (15.5 mo vs. 13.3 mo), and PFS (6.5 mo vs. 3.3 mo) compared with pembrolizumab monotherapy. Further, a subset analysis showed that those patients who received pembrolizumab plus multiple infusions of allogeneic NK cells fared better than those who had received a single infusion of allogeneic NK cells.¹⁴³ A separate but analogous study demonstrated that pembrolizumab plus autologous ex vivo expanded NK cell infusion showed better efficacy when combined with compared with pembrolizumab monotherapy in advanced NSCLC.¹⁴⁴ The estimated 2-yr survival rate was 58.3% versus 16.7% (pembrolizumab plus SNK01 vs. pembrolizumab monotherapy).¹⁴⁴

Our group and others have reported that human NK cells express high levels of PD-L1 when exposed to solid tumors or leukemia cells, and this expression is inversely proportional to the surface levels of MHC-I on the tumor cells.^{145,146} Notably, PD-L1⁺ NK cells exhibit an activated phenotype with enhanced effector functions compared with their PD-L1[−] counterparts.¹⁴⁵ The anti-PD-L1 monoclonal antibody atezolizumab directly binds to PD-L1 on the NK cell and further enhances the functionality of PD-L1⁺ NK cells in terms of their cytotoxicity and cytokine secretion.¹⁴⁵ Thus, in this case, the atezolizumab functions not only as a checkpoint inhibitor to block the PD-1–PD-L1 interaction between tumor and T cells, but also to directly activate PD-L1⁺ tumor-reactive or activated by cytokine (TRACK) NK cells. Building on these findings, our team recently initiated a clinical trial (NCT05334329) to evaluate genetically engineered NK cells without or with atezolizumab, for patients with refractory or relapsed NSCLC.¹⁴⁷ These NK cells, named TRACK NK cells, are engineered to secrete soluble IL-15 and are pretreated with IL-12 and IL-18 to induce high levels of PD-L1 expression prior to infusion into patients (Fig. 1D). Our preclinical studies demonstrated that the administration of frozen, off-the-shelf, allogeneic TRACK NK cells is safe in preclinical models of human NSCLC and exhibits potent antitumor activity with improved survival, both alone and in combination with atezolizumab.⁶⁹ The clinical study in NSCLC patients (NCT05334329) is ongoing.

TIM-3

TIM-3 is a well-recognized exhaustion marker in T cells. While its expression is minimal on resting T cells and only upregulated

following chronic stimulation, TIM-3 is constitutively expressed at significantly higher levels on resting NK cells.¹⁴⁸ In patients with advanced melanoma, NK cells exhibit elevated TIM-3 expression, and these TIM-3⁺ NK cells are functionally impaired or exhausted.¹⁴⁹ Importantly, TIM-3 blockade has been shown to enhance NK cell cytotoxicity in healthy donors and reverse the exhaustion of NK cells in cancer patients in preclinical settings.^{148–150} Consequently, TIM-3 is considered a promising target for developing antibody-based and/or NK cell-based immunotherapeutic strategies for cancer. To date, over 110 clinical trials have investigated anti-TIM-3 antibodies, exploring both monotherapy and combination treatments, including bispecific antibodies—most notably anti-TIM-3/anti-PD-1 constructs.¹⁵¹ Clinical studies have demonstrated that simultaneously targeting TIM-3 and PD-1 is more effective than targeting either pathway alone.^{152,153} A number of ongoing phase 1 and 2 clinical trials are evaluating combination therapies and bispecific antibodies targeting TIM-3 and PD-1 (e.g., NCT04641871, NCT03680508, NCT03708328, NCT04931654, NCT05357651).

TIGIT

Exhausted NK cells usually have increased expression of TIGIT,^{154,155} which inhibits their cytotoxic function upon binding to its ligand CD155.¹⁵⁶ TIGIT⁺ NK cells have been shown to have reduced IFN- γ , TNF- α , and CD107a expression, and TIGIT blockade has been shown to restore NK cell function and enhance antitumor effects in murine models.¹⁵⁴ However, its efficacy as a checkpoint target in humans has been inconsistent. Studies in both T cells and NK cells suggest TIGIT requires costimulation with cytokines or combined blockade of additional checkpoint receptors, such as PD-1, for optimal results.^{157,158} Interestingly, recent findings challenge the notion of TIGIT as a marker of NK cell dysfunction. For example, TIGIT⁺ NK cells in GBM exhibit hyperfunctionality,¹⁵⁹ while TIGIT⁺ NK cells in AML patients show enhanced cytotoxicity, cytokine production, and granzyme B expression.¹⁶⁰ Despite these complexities, there are up to 90 clinical trials evaluating 23 different anti-TIGIT antibodies, including 16 phase 3 trials designed to assess various strategies targeting TIGIT. From the limited published clinical data,^{161–163} anti-TIGIT antibodies have shown minimal activity as monotherapy in advanced solid tumors.^{162,163} However, their combination with anti-PD-1 therapies has demonstrated enhanced efficacy.¹⁶¹ Despite this potential, results from 3 phase 3 trials for tiragolumab, an anti-TIGIT antibody, have been disappointing. The SKYSCRAPER-02 trial (NCT04256421) in SCLC showed no significant improvement in PFS or OS.¹⁶⁴ Similarly, the SKYSCRAPER-01 trial (NCT04294810) in PD-L1–high NSCLC failed to meet its PFS endpoint.¹⁶⁵ Additionally, the SKYSCRAPER-06 trial (NCT04619797), which evaluated tiragolumab in combination with the PD-L1 inhibitor atezolizumab and chemotherapy in metastatic nonsquamous lung cancer, also did not meet its PFS endpoint.¹⁶⁶ Even with these high-profile disappointments, TIGIT is being pursued for anticancer therapy, especially in combination with other immunotherapeutic approaches, such as ICIs targeting the PD-1/PD-L1 pathway.

NK cell engager

NKCEs are a class of bioengineered molecules designed to redirect endogenous NK cells to tumor cells while

simultaneously activating their cytotoxic function (Fig. 1C). NKCEs are relatively easy to manufacture, less expensive than CAR NK cell therapy, and have extended retention times in the body, making them a more cost-effective strategy. Additionally, NKCEs can be combined with adoptive NK cell transfer by forming complexes with allogeneic NK cells.¹⁶⁷ NKCEs use an Fc domain of human IgG to bind CD16 on NK cells, and a single-chain variable fragment (scFv) to recognize tumor antigens such as CD19,¹⁶⁸ CD30,¹⁶⁹ CD33,¹⁷⁰ and CD123.¹⁷¹ Beyond CD16, NKCEs can also target other activating NK cell receptors, including NKG2D,¹⁷² NKP30,¹⁶⁸ NKP46,¹⁶⁸ and NKG2C.¹⁷³ Some NKCEs also incorporate cytokines like IL-15 and IL-2 to enhance NK cell survival and antitumor activity.^{173,174} Additionally, NKCEs can be designed to include immune checkpoint receptor blockade, further enhancing antitumor function and reducing NK cell exhaustion.¹⁷⁵

The tetraspecific NK cell engager represents a significant advancement over trifunctional NKCEs. A representative tetraspecific NKCE consists of an IgG1 Fc domain engaging CD16, 2 scFvs targeting the NKP46 activating receptor and a specific tumor-associated antigen (TAA), and an IL-2 variant (IL-2v). The IL-2v is engineered with a point mutation that enables selective binding to the low-affinity $\beta\gamma$ IL-2 receptor, expressed by NK cells at steady state, while avoiding the high-affinity IL-2R $\alpha\beta$ constitutively expressed by Tregs cells. For instance, an IL-2v/NKP46/IgG1-Fc/CD20 tetraspecific NKCE has been shown to preferentially induce NK cell proliferation and activation across mouse, nonhuman primate, and human models, and exhibit robust antitumor effects in mouse and xenograft models of B cell lymphoma.^{174,176} A growing number of NKCEs are currently in development, with some already progressing to clinical trials.^{169,171} However, most NKCEs are primarily tested in hematological malignancies, with limited applications in solid tumors to date. Moreover, the efficacy of NKCE monotherapy will likely require further optimization, as demonstrated by the latest phase 2 study of AFM13, a bispecific CD30/CD16 antibody. The study reported an ORR of only 32.4% (95% confidence interval, 23.7%–42.1%) and a complete response rate of 10.2% (95% confidence interval, 5.2%–17.5%), despite exhibiting a favorable safety profile.¹⁷⁷ The addition of allogeneic NK cells may improve NKCE activity in some types of cancer, and both preclinical and clinical evidence has shown that NKCE combined with allogeneic NK cells results in significant improvements,^{167,178} as discussed subsequently.

NK cell-based immunotherapy in the future

NK cell-based immunotherapy has emerged as a promising new approach to cancer treatment, with the potential to overcome some limitations of T cell-based therapies. However, NK cell-based therapy still faces several challenges and limitations in both ex vivo and in vivo settings. A detailed discussion of these challenges has been extensively reviewed elsewhere.^{112,130,179–181} Here we briefly summarize the key obstacles specific to NK cell therapy based on our experiences. In addition to common challenges shared with other immune therapies—such as immunosuppression TME, poor tumor infiltration, and on-target, off-tumor toxicity—NK cell therapy faces 2 primary limitations. The first is poor in vivo persistence, as unlike antigen-specific T cells or CAR T cells, which undergo clonal expansion upon encountering their target antigen, allogeneic CAR or non-CAR NK cells

that are expanded ex vivo with supraphysiological cytokine exposure often exhibit limited persistence once infused into patients. The second is NK cell dysfunction or exhaustion, as prolonged ex vivo expansion processes can lead to NK cell exhaustion. Similarly, cytokine or NKCE-based therapies, which rely on the presence of healthy NK cells, may induce NK cell exhaustion with continuous stimulation in vivo. Additionally, unlike the clinical successes seen with T cell ICIs targeting PD-1 and CTLA-4, ICIs for NK cells have thus far not yielded impressive results. For instance, clinical trials targeting NK cell checkpoints, such as TIGIT antibodies, have faced repeated challenges as discussed above. Despite these setbacks, the insights gained from these studies provide a critical foundation for future clinical research. Subsequently we discuss some potential strategies and advancements that could improve the efficacy and duration of NK cell-based immunotherapies.

Optimizing NK cell manufacturing

Current NK cell products are typically expanded ex vivo using K562 feeder cells engineered to express membrane-bound IL-15 or IL-21 and 4-1BBL, along with cytokine IL-2. This method enables over 2,000-fold expansion of NK cells from UCB within 14 d. It has become a standard approach for clinical manufacturing of off-the-shelf, nonengineered and engineered NK cell therapies.^{109,111} However, prolonged exposure to cytokines during expansion protocols can induce exhaustion in mature peripheral blood NK and UCB NK cells. To address this limitation, NK cell generation from UCB-derived CD34⁺ progenitor cells has been developed.^{54,182} These progenitor-derived NK cells exhibit a highly active phenotype and demonstrate potent cytotoxicity against acute AML in vitro and in vivo.¹⁸² Moreover, they have been shown to be safe and clinically applicable.⁵⁶ Engineering these CD34⁺ progenitor-derived NK cells with higher-affinity CD16a further enhances antibody-dependent cellular cytotoxicity.¹⁸³ Future studies are needed to compare the in vivo persistence and antitumor efficacy of NK cells expanded directly from UCB versus those derived from CD34⁺ progenitor cells. Another strategy to enhance NK cell fitness and activity involves optimizing culture conditions, including feeder cells, serum replacements, cytokines, and other supplements, as reviewed by Lamers-Kok et al.¹⁸⁴ For example, adding nicotinamide, a form of vitamin B3, to the culture medium has been shown to improve both the in vitro expansion and in vivo persistence of NK cells.¹⁸⁵ Infusion of nicotinamide-expanded allogeneic NK cells demonstrated promising results in a phase 1 clinical trial for treating non-Hodgkin lymphoma.¹⁸⁶

Improving cell cryopreservation

Cryopreservation is another critical step in producing final off-the-shelf NK cell products. Freezing and thawing processes can damage cells, with a recent study showing that cryopreserved NK cells undergo apoptosis due to granzyme B leakage from cytotoxic vesicles.¹⁸⁷ Pretreating NK cells with a combination of IL-15 and IL-18 prior to cryopreservation has been shown to improve recovery rates to ~90% to 100%.¹⁸⁷ The quality of UCB is also vital for successful NK cell manufacturing. UCB samples frozen shortly after collection (within 12 h) and with lower numbers of nucleated red blood cells yield substantially better outcomes compared with those frozen after longer delays (24–48 h).¹¹¹ Therefore,

optimizing UCB collection, NK cell expansion, and final product cryopreservation is crucial for enhancing therapeutic efficacy. These efforts require further research and refinement to improve clinical outcomes.

Combining allogeneic off-the-shelf NK cells with NKCEs

Combining allogeneic NK cells with NKCEs is a promising strategy that leverages complementary mechanisms to enhance the effectiveness of each approach. NKCEs can help overcome the limited specificity of allogeneic NK cells by targeting tumor antigens, while NK cells serve as potent effectors for NKCE-mediated ADCC. Additionally, NKCEs incorporated with cytokines can maintain NK cell persistence and activation during the therapeutic window by continually engaging them with tumor targets. This combination also has the potential to counteract the suppressive effects of the TME and the poor infiltration by incorporating ICIs and chemokines into NKCEs. For example, UCB-derived CIML NK cells, when complexed with AFM13 demonstrated enhanced killing of CD30⁺ tumor cells, resulting in a CAR-like response.¹⁶⁷ A completed phase 1 and 2 trial (NCT04074746) demonstrated that AFM13 combined with allogeneic cord blood-derived NK cells achieved a 92.8% ORR and a 66.7% complete response rate in patients with refractory CD30-positive lymphomas.¹⁷⁸ Moving forward, more robust clinical trials are needed to evaluate the safety, efficacy, and optimal dosing of allogeneic NK cells and NKCE combinations across diverse cancer types, with a particular focus on solid tumors, improving tumor infiltration, and reducing NK cell exhaustion.

Developing in vivo CAR NK cells

Current ex vivo CAR T and CAR NK cell therapies require a complicated manufacturing process involving cell isolation, genetic modification, and expansion outside the body. This process is intricate, time-consuming, and can take several weeks. Furthermore, patients must undergo chemotherapy beforehand to prepare their bodies for the infusion of these engineered immune cells. Recent advancements have introduced in vivo CAR cell therapies, in which immune cells are engineered directly within the patient using delivery vehicles that carry the CAR gene. This approach can significantly reduce manufacturing costs, shorten turnaround times, and eliminate the need for preconditioning chemotherapy, making it a more patient-friendly alternative.¹⁸⁸ Excitingly, in vivo strategies allow the creation of both CAR T cells and CAR NK cells in a single patient using a single delivery vector.¹⁸⁹ Various delivery systems are currently under exploration for in vivo CAR therapy, including viral vectors such as lentivirus, retrovirus, and adeno-associated virus, as well as nanocarriers like polymers, lipid nanoparticles, and exosomes.¹⁸⁸ While challenges such as limited in vivo transduction efficiency and off-target effects remain, preclinical studies have demonstrated the safety and efficacy of in vivo CAR T cell generation using lentiviral vectors or lipid nanoparticles.^{190–192} Several in vivo CAR immune cell therapies are now in clinical trials (NCT05969041, NCT06478693, NCT06528301, NCT06539338). While most current efforts focus on CAR T cell therapy, in vivo engineering has significant potential for NK cell-based therapies as well. This approach could potentially enhance endogenous NK cell function in the setting of an immunosuppressive tumor microenvironment, improve their persistence, and increase

tumor susceptibility to NK cell-mediated cytotoxicity. In vivo CAR NK therapy represents an exciting frontier in cancer immunotherapy, offering the potential to expand the therapeutic arsenal against a variety of malignancies.

Conclusions

NK cell-based immunotherapy represents an exciting and rapidly evolving field of research in oncology. The unique properties of NK cells, including their innate ability to target tumor cells and modulate immune responses, have laid a strong foundation for innovative therapeutic strategies. Advances in genetic engineering, cytokine therapies, cryopreservation, and delivery systems, such as in vivo CAR NK cell technologies, highlight significant progress in overcoming challenges like limited cell persistence and the immunosuppressive tumor environment. Looking forward, a deeper understanding of NK cell biology, coupled with technological innovations, will likely drive transformative improvements in both efficacy and accessibility. Beyond cancer, expanding the scope of NK cell-based treatments to autoimmune diseases and infectious diseases opens avenues for broader therapeutic applications. As research advances, NK cell-based immunotherapy is poised to become a keystone of precision medicine, shaping the future of oncology and beyond.

Author contributions

S.M., J.Y., and M.A.C. conceived and designed the review. S.M., J.Y., and M.A.C. wrote, reviewed and/or revised the paper. J.Y. and M.A.C. acquired funding. All authors discussed and approved the manuscript.

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Conflicts of interest

M.A.C. and J.Y. hold a provisional patent on TRACK NK cells. S.M. has no declaration of any potential conflict.

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